PTO/SB/05e (07-09)
Approved for use through 07/31/2012 OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| INFORMATION DISCLOSU | R |
|---------------------------------------|----|
| STATEMENT BY APPLICAL | N |
| (Not for submission under 37 CFR 1.5 | 99 |
| | |

| Application Number | | 10576438 | | |
|----------------------|-------|-------------------|--|--|
| Filing Date | | 2006-04-20 | | |
| First Named Inventor | Dan (| G. Siegel 1794 | | |
| Art Unit | | | | |
| Examiner Name Marc | | A. Patterson | | |
| Attorney Docket Num | hor | 20737.PCT.LIS | | |

| | U.S.PATENTS | | | | | | | | |
|----------------------|---|---|---|--|---|--|--------|---|--|
| Examiner Initial* | | | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Releva Figures Appear | | | | | |
| | 1 | | | | | | | | |
| If you wis | h to a | dd additional U.S. Paten | t citatio | n information pl | lease click the Add button. | | Add | _ | |
| | | | U.S.P | ATENT APPLI | CATION PUBLICATIONS | | Remove | | |
| Examiner Initial* | Cite No | Publication Number | Kind Code ¹ | Publication Date | Name of Patentee or Applicant of cited Document | | | | |
| | 1 | 20060233985 | A1 | 2006-10-19 | Pockat et al. | | | | |
| | 2 | 20060246242 | A1 | 2006-11-02 | Siegel et al. | | | | |
| | 3 | 20060296323 A1 2006-12-21 Siegel et al. | | | | | | | |
| | 4 20070014953 A1 2007-01-18 Siegel et al. | | | | | | | | |
| | 5 | 20070014947 | A1 | 2007-01-18 | Mengel et al. | | | _ | |

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

| Application Number | | 10576438 | | |
|------------------------|---------------|--------------|--|--|
| Filing Date | | 2006-04-20 | | |
| First Named Inventor | Dan G. Siegel | | | |
| Art Unit | | 1794 | | |
| Examiner Name | Marc | A. Patterson | | |
| Attorney Docket Number | | 20737-PCT-US | | |

| | 6 | 20070104901 | A1 | 2007-05 | i-10 | Siegel et al. | | | | | _ |
|-----------------------|--|---|-----------------------------|-----------|---------------------------|-----------------|---------------------|--------|---|---|----|
| | 7 | 20070246867 | A1 | 2007-10 | 1-25 | Nelson et al. | | | | | |
| If you wis | h to a | dd additional U.S. Publi | shed Ap | plication | citatio | n information p | lease click the Add | butto | n. Add | | |
| | | | | FOREIG | SN PAT | TENT DOCUM | ENTS | | Remov | 9 | |
| Examiner Initial* | Cite No | Foreign Document Number ³ | Countr Code ² | | Kind Code ⁴ | | | | Pages,Columns,Line where Relevant Passages or Relevan Figures Appear | | TS |
| | 1 | | | | | | | | | | |
| If you wis | h to a | dd additional Foreign P | atent Do | cument | citation | information pl | ease click the Add | button | Add | | |
| | | | NO | I-PATE | NT LITE | RATURE DO | CUMENTS | | Remov | 9 | |
| Examiner Initials* | Cite No lock, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | | | | | | Τs | | | | |
| | 1 | | | | | | | | | | |

Examiner Signature | Date Considered |

Examiner Signature | Date Considered |

EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

If you wish to add additional non-patent literature document citation information please click the Add button Add

See Kini Code of USPTO Patient Documents at year-USPTO_COX or MFEP 9610.4. ² Enter office that issued the document, by the ho-effet code (WPO Sandard ST.3). ² Sort Justapence planted concurrent, by indication of the year of the Fragment may be completely an author of the plant of the Sandard ST.10 is possible. ³ Applicant is to place a check mark here if English tapaques the restation is statisticed.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

| Application Number | | 10576438 | | |
|----------------------|-------|--------------|--|--|
| Filing Date | | 2006-04-20 | | |
| First Named Inventor | Dan C | 3. Siegel | | |
| Art Unit | | 1794 | | |
| Examiner Name | Marc | A. Patterson | | |
| Attorney Docket Numb | er | 20737-PCT-US | | |

CERTIFICATION STATEMENT

| Please see 37 | CFR 1.97 | and 1.98 to | make the appro | priate selection(s): |
|---------------|----------|-------------|----------------|----------------------|
|---------------|----------|-------------|----------------|----------------------|

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Sea 97 CFF 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office is a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquity, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1/56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1/97(c).

- See attached certification statement.
- Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- _ ...

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature | /Christine E. Parsons/ | Date (YYYY-MM-DD) | 2009-08-26 |
|------------|------------------------|---------------------|------------|
| Name/Print | Christine F. Parsons | Registration Number | 51.457 |

This collection of information is required by 3T CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is for life railed by the USPTO to process) and application. Confidentiality is governed by \$5 U.S. C. 12.04 and 3T CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application from the USPTO. Time will vary depending upon the individual case: Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Pleatert and Trademark Office, U.S. operatment of Commence, P.O. 8bx 1445, Alexandrin, V.S. 2311-1450, D.O. NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. 8bx 1459, Alexandria, V.S. 2311-1450.

Privacy Act Statement

The Privacy Act of 1974 (P. L. 93-579) requires that you be given certain information in connection with your submission of the stackhold from related to a patient application or patient. Accordingly, pursuant to the requirements of the Act, places be advised that (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) familishing of the information solicided is couldrain; and (3) the primoral pursuance for which the information is used by the U.S. Patient and Trademan Coffice is to process and/or examine your submission related to a patient agricultant or patient. If you do not furnish the requested process and/or examine your submission related to a patient agricultant or patient. If you do not furnish the requested results of the patient of the patient and the patient of the patient

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
 - A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiation.
 - A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record perfains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
 - A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552(m).
 - A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
 may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
 to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be discbesed, as a routine use, to the Administrator, General Services, or hisher designed, uting an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 4d U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the
 application pursuant to 35 U.S.C. 12(2) to rissuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be
 disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filled in application
 which became abandoned or in which the proceedings were terminated and which application is referenced by either a
 published application, an application open to public inspections or as issued patent.
 - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.